Attorney's Docket No.: 01997-273003

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Moungi G. Bawendi et al.

Art Unit : 1641

RECEIVED

Serial No.: 09/832,959

Examiner: Unknown

Filed

: April 12, 2001

: BIOLOGICAL APPLICATIONS OF QUANTUM DOTS Title

JIII_ 1 0 2001

Commissioner for Patents Washington, D.C. 20231

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached Form PTO-1449, copies of which are enclosed.

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/160,454, filed on September 18, 1998. The references listed on the attached PTO Forms 1449 which were submitted to and/or cited by the Office in the prior application on PTO Form 892 are not provided in this application. Copies of the PTO Forms 1449 from the prior application are enclosed.

This statement is being filed within three months of the filing date of the application. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 7-3-01

Harold H. Fox

Reg. No. 41,498

Fish & Richardson P.C. 601 Thirteenth Street, NW Washington, DC 20005 Telephone: (202) 783-5070

Facsimile: (202) 783-2331

40061036.doc